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In Re application of:

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Title: **IDENTIFICATION OF MOLECULAR INTERACTION  
SITES IN RNA FOR NOVEL DRUG DISCOVERY**

**MARKED-UP SPECIFICATION**

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**IDENTIFICATION OF MOLECULAR INTERACTION  
SITES IN RNA FOR NOVEL DRUG DISCOVERY**

**CROSS REFERENCE TO RELATED APPLICATIONS**

15 The present application is a continuation-in-part of U.S. Serial No. 09/076,440 filed May 12, 1998, which claims priority to provisional U.S. Serial No. 60/085,092 filed May 12, 1998, each of which is incorporated herein by reference in its entirety.

**FIELD OF THE INVENTION**

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The present invention is directed to methods of identifying regions of nucleic acids, especially RNA, in prokaryotes and eukaryotes that can serve as molecular interaction sites. Therapeutics and structural databases are also comprehended by the present invention.

25 **BACKGROUND OF THE INVENTION**

Recent advances in genomics, molecular biology, and structural biology have highlighted how RNA molecules participate in or control many of the events required to express proteins in cells. Rather than function as simple intermediaries, RNA molecules actively regulate their own transcription from DNA, splice and edit mRNA molecules and  
30 tRNA molecules, synthesize peptide bonds in the ribosome, catalyze the migration of nascent proteins to the cell membrane, and provide fine control over the rate of translation

of messages. RNA molecules can adopt a variety of unique structural motifs, which provide the framework required to perform these functions.

“Small” molecule therapeutics, which bind specifically to structured RNA molecules, are organic chemical molecules which are not polymers. “Small” molecule therapeutics include the most powerful naturally-occurring antibiotics. For example, the aminoglycoside and macrolide antibiotics are “small” molecules that bind to defined regions in ribosomal RNA (rRNA) structures and work, it is believed, by blocking conformational changes in the RNA required for protein synthesis. Changes in the conformation of RNA molecules have been shown to regulate rates of transcription and translation of mRNA molecules.

An additional opportunity in targeting RNA for drug discovery is that cells frequently create different mRNA molecules in different tissues that can be translated into identical proteins. Processes such as alternative splicing and alternative polyadenylation can create transcripts that are unique or enriched in particular tissues. This provides the opportunity to design drugs that bind to the region of RNA unique in a desired tissue, including tumors, and not affect protein expression in other tissues, or affect protein expression to a lesser extent, providing an additional level of drug specificity generally not achieved by therapeutic targeting of proteins.

RNA molecules or groups of related RNA molecules are believed by Applicants to have regulatory regions that are used by the cell to control synthesis of proteins. The cell is believed to exercise control over both the timing and the amount of protein that is synthesized by direct, specific interactions with mRNA. This notion is inconsistent with the impression obtained by reading the scientific literature on gene regulation, which is highly focused on transcription. The process of RNA maturation, transport, intracellular localization and translation are rich in RNA recognition sites that provide good opportunities for drug binding. Applicants’ invention is directed to finding these regions for RNA molecules in the human genome as well as in other animal genomes and prokaryotic genomes.

Accordingly, it is a principal object of the invention to identify molecular interaction sites in nucleic acids, especially RNA. A further object of the invention is to identify secondary structural elements in RNA which are highly likely to give rise to significant therapeutic, regulatory, or other interactions with “small” molecules and the like.

Identification of tissue-enriched unique structures in RNA is another objective of the present invention.

#### SUMMARY OF THE INVENTION

Applicants' invention is directed to methods of identifying secondary structures  
5 in eukaryotic and prokaryotic RNA molecules termed "molecular interaction sites." Molecular  
interaction sites are small, preferably less than 50 nucleotides, alternatively less than 30  
nucleotides, independently folded, functional subdomains contained within a larger RNA  
molecule. Applicants' methods preferably comprise a family of integrated processes that  
analyze nucleic acid, preferably RNA, sequences and predict their structure and function.  
10 Applicants' methods preferably comprise processes that execute subroutines in sequence,  
where the results of one process are used to trigger a specific course of action or provide  
numerical or other input to other steps. Preferably, there are decision points in the processes  
where the paths taken are determined by expert processes that make decisions without  
detailed, real-time human intervention. Automation of the analysis of RNA sequences  
15 provides the ability to identify regulatory sites at the rate that RNA sequences become  
available from genomic sequence databases and otherwise. The invention can be used, for  
example, to identify molecular interaction sites in connection with central nervous system  
(CNS) disease, metabolic disease, pain, degenerative diseases of aging, cancer, inflammatory  
disease, cardiovascular disease and many other conditions. Applicants' invention can also be  
20 used, for example, to identify molecular interaction sites, which are absent from eukaryotes,  
particularly humans, which can serve as sites for "small" molecule binding with concomitant  
modulation, either augmenting or diminishing, of the RNA of prokaryotic organisms. Human  
toxicity can, thus, be avoided in the treatment of viral, bacterial or parasitic disease.

The present invention preferably identifies molecular interaction sites in a  
25 target nucleic acid by comparing the nucleotide sequence of the target nucleic acid with the  
nucleotide sequences of a plurality of nucleic acids from different taxonomic species,  
identifying at least one sequence region which is effectively conserved among the plurality of  
nucleic acids and the target nucleic acid, determining whether the conserved region has  
secondary structure, and, for conserved regions having secondary structure, identifying the  
30 secondary structures.

The present invention is also directed to databases relating to molecular  
interaction sites, in eukaryotic and prokaryotic RNA. The databases are obtained by

comparing the nucleotide sequence of the target nucleic acid with the nucleotide sequences of a plurality of nucleic acids from different taxonomic species, identifying at least one sequence region which is conserved among the plurality of nucleic acids and the target nucleic acid, determining whether the conserved region has secondary structure, and for the conserved regions having secondary structure, identifying the secondary structures, and compiling a group of such secondary structures.

The present invention is also directed to oligonucleotides comprising a molecular interaction site that is present in the RNA of a selected organism and in the RNA of at least one additional organism, wherein the molecular interaction site serves as a binding site for at least one molecule which, when bound to the molecular interaction site, modulates the expression of the RNA in the selected organism.

The present invention is also directed to an oligonucleotide comprising a molecular interaction site that is present in prokaryotic RNA and in at least one additional prokaryotic RNA, wherein the molecular interaction site serves as a binding site for at least one molecule, when bound to the molecular interaction site, modulates the expression of the prokaryotic RNA.

The present invention also concerns pharmaceutical compositions comprising an oligonucleotide having a molecular interaction site that is present in prokaryotic RNA and in at least one additional prokaryotic RNA, wherein the molecular interaction site serves as a binding site for at least one "small" molecule. Such molecule, when bound to the molecular interaction site, modulates the expression of the prokaryotic RNA. A pharmaceutical carrier is also preferably included.

The present invention also provides pharmaceutical compositions comprising an oligonucleotide comprising a molecular interaction site that is present in the RNA of a selected organism and in the RNA of at least one additional organism. The molecular interaction site serves as a binding site for at least one molecule that, when bound to the molecular interaction site, modulates the expression of the RNA in the selected organism, and a pharmaceutical carrier.

Ultimately, the methods of the present invention identify the physical structures present in a target nucleic acid which are of great importance to an organism in which the nucleic acid is present. Such structures - called molecular interaction sites - are capable of interacting with molecular species to modify the nature or effect of the nucleic

acid. This may be exploited therapeutically as will be appreciated by persons skilled in the art. Such structures may also be found in the nucleic acid of organisms having great importance in agriculture, pollution control, industrial biochemistry, and otherwise. Accordingly, pesticides, herbicides, fungicides, industrial organisms such as yeast, bacteria, viruses, and the like, and biocatalytic systems may be benefitted hereby.

While there are a number of ways to characterize binding between molecular interaction sites and ligands, such as for example, organic compounds, preferred methodologies are described in, for example, U.S. Serial Numbers 09/076,440, 09/076,405, 09/076,447, 09/076,206, 09/076,214, and 09/076,404, each of which was filed on May 12, 1998 and each assigned to the assignee of this invention. All of the foregoing applications are incorporated by reference herein in their entirety.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 illustrates a flowchart comprising one preferred set of method steps for identifying molecular interaction sites in eukaryotic and prokaryotic RNA.

Figure 2 is a flowchart describing a preferred set of procedures in the Find Neighbors And Assemble ESTBlast protocol.

Figure 3 is a flowchart describing preferred steps in the BlastParse protocol.

Figure 4 is a flowchart describing preferred steps in the Q-Compare protocol.

Figures 5A, 5B, 5C and 5D illustrate flowcharts describing preferred steps in the CompareOverWins protocol.

[Figure 6 is representative scatter plot of an interspecies sequence comparison between mouse and human for a ferritin RNA.

Figure 7 shows an example of self complementation analysis of a single sequence.

Figure 8 shows an overlay of self-complementarity plots of certain orthologs, and selection for the most repetitive pattern in each, resulting in a minimal number of possible folded configurations as depicted in the diagonal strings of blocks.]

Figure 9 shows an exemplary descriptor.

Figure 10 shows a set of e-value scores for ferritin

[Figure 11 is a representative scatter plot of an interspecies sequence comparison between human and trout for a ferritin RNA.

Figure 12 is representative scatter plot of an interspecies sequence comparison between human and chicken for a ferritin RNA.]

Figure 8 [13] shows a representative lookup table used in Q-compare or CompareOverWins.

5           Figure 9 [14] shows a representative block diagram of a program called RevComp.

Figure 10 [15] shows a representative flow chart showing preferred steps of a preferred database search strategy for ortholog finding.

10           [Figure 16 shows a representative Hovergen family tree for ferritin species classification.

Figure 17 shows a representative Hovergen family tree for ferritin mammalian orders classification.]

Figure 11 [18] shows a representative flow scheme showing preferred steps for a preferred SEALS strategy.

15           [Figure 19 shows a representative plot showing regions of sequence similarity between human and mouse ferritin 5' UTR.]

Figure 12 [20] represents a genetic map showing a conserved iron response element in the 5' UTR of mouse and human ferritin.

20           [Figure 21 shows a representative plot showing regions of sequence similarity between human and trout ferritin 5' UTR.

Figure 22 shows a representative plot showing regions of sequence similarity between human and chicken ferritin 5' UTR.

Figure 23 shows a representative Align Hits view of ferritin 5' UTR.

Figure 24 shows a representative Clustal Alignment of ferritin 5' UTR.]

25           Figure 13 [25] shows representative flow scheme showing preferred steps for a preferred Structure Predictor strategy.

[Figure 26 shows a representative reverse complement matrix for ferritin 5' UTR.

30           Figure 27 shows a representative Dome structure view of ferritin 5' UTR structure.]

Figure 14 [28] shows a representative structure drawing of ferritin 5' UTR.

[Figure 29 shows a representative Hovergen family tree for histone.

Figure 30 shows a representative Hovergen family tree showing vertebrate classification for histone.

Figure 31 shows a representative Align Hits view of histone 3' UTR.

Figure 32 shows a representative Clustal Alignment for histone 3' UTR.

5                   Figure 33 shows a representative reverse complement matrix for histone 3' UTR.

Figure 34 shows a representative Dome structure view structure of histone 3' UTR.

Figure 35 shows a representative structure drawing for histone 3' UTR.

10                  Figure 36 shows a representative structure drawing of region 2 of vimentin 3' UTR.

Figure 37 shows a representative Hovergen family tree for vimentin.

Figure 38 shows a representative Align Hits view of vimentin 3' UTR.

15                  Figure 39 shows a representative Clustal Alignment of region 1 of vimentin 3' UTR.

Figure 40 shows a representative Dome structure view of region 1 of vimentin 3' UTR.

Figure 41 shows a representative structure drawing of region 1 of vimentin 3' UTR.

20                  Figure 42 shows a structure proposed by Zehner *et al.* for vimentin 3' UTR.

Figure 43 shows a representative Clustal Alignment of region 2 of vimentin 3' UTR.

Figure 44 shows a representative Dome structure view of region 2 of vimentin 3' UTR.

25                  Figure 45 shows a representative Hovergen family tree of transferrin receptor.

Figure 46 shows a representative Align Hits view of region 1 of transferrin receptor 3' UTR.

Figure 47 shows a representative Clustal Alignment of region 1 of transferrin receptor 3' UTR.

30                  Figure 48 shows a representative Dome structure view of region 1 of transferrin receptor 3' UTR.



Figure 49 shows a representative structure drawing of region 1 of transferrin receptor 3' UTR.

Figure 50 shows a representative Align Hits view of region 2 of transferrin receptor 3' UTR.

5                Figure 51 shows a representative Clustal Alignment of region 2 of transferrin receptor 3' UTR.

Figure 52 shows a representative Dome structure view of region 2 of transferrin receptor 3' UTR.

10              Figure 53 shows a representative structure drawing of region 2 of transferrin receptor 3' UTR.

Figure 54 shows a representative Align Hits view of region 3 of transferrin receptor 3' UTR.

Figure 55 shows a representative Clustal Alignment of region 3 of transferrin receptor 3' UTR.

15              Figure 56 shows a representative Dome structure view of region 3 of transferrin receptor 3' UTR.

Figure 57 shows a representative structure drawing of region 3 of transferrin receptor 3' UTR.

20              Figure 58 shows a representative Align Hits view of region 4 of transferrin receptor 3' UTR.

Figure 59 shows a representative Clustal Alignment of region 4 of transferrin receptor 3' UTR.

Figure 60 shows a representative Dome structure view of region 4 of transferrin receptor 3' UTR.

25              Figure 61 shows a representative structure drawing of region 4 of transferrin receptor 3' UTR.

Figure 62 shows a representative Align Hits view of region 5 of transferrin receptor 3' UTR.

30              Figure 63 shows a representative Clustal Alignment of region 5 of transferrin receptor 3' UTR.

Figure 64 shows a representative Dome structure view of region 5 of transferrin receptor 3' UTR.

Figure 65 shows a representative structure drawing of region 5 of transferrin receptor 3' UTR.]

Figure 15 [66] shows a representative mass-spec structure probe analysis of region 1 of ornithine decarboxylase 3' UTR.

5 [Figure 67 shows a representative Clustal Alignment of region 1 of ornithine decarboxylase 3' UTR.

Figure 68 shows a representative Hovergen family tree of ornithine decarboxylase 3' UTR.

Figure 69 shows a representative Hovergen family tree of vertebrate ornithine  
10 decarboxylase 3' UTR.

Figure 70 shows a representative Align Hits view of ornithine decarboxylase 3' UTR.

Figure 71 shows a representative reverse complement matrix of region 1 of ornithine decarboxylase 3' UTR.

15 Figure 72 shows a representative Dome structure view of region 1 of ornithine decarboxylase 3' UTR.

Figure 73 shows a representative structure drawing of region 1 of ornithine decarboxylase 3' UTR.

Figure 74 shows a representative Clustal Alignment of region 2 of ornithine  
20 decarboxylase 3' UTR.

Figure 75 shows a representative Dome structure view of region 2 of ornithine decarboxylase 3' UTR.

Figure 76 shows a representative structure drawing of region 2 of ornithine decarboxylase 3' UTR.

25 Figure 77 shows a representative Hovergen family tree of interleukin-2 (IL-2).

Figure 78 shows a representative Align Hits view of IL-2 3' UTR.

Figure 79 shows a representative Clustal Alignment of region 1 of IL-2 3' UTR.

Figure 80 shows a representative Dome structure view of region 1 of IL-2 3'  
30 UTR.

Figure 81 shows a representative structure drawing of region 1 of IL-2 3' UTR.

Figure 82 shows a representative Clustal Alignment of region 2 of IL-2 3' UTR.

Figure 83 shows a representative Dome structure view of region 2 of IL-2 3' UTR.

5 Figure 84 shows a representative structure drawing of region 2 of IL-2 3' UTR.

Figure 85 shows a representative Align Hits view of IL-2 3' UTR.

Figure 86 shows a representative Clustal Alignment of region 3 of IL-2 3' UTR.

10 Figure 87 shows a representative Dome structure view of region 3 of IL-2 3' UTR.

Figure 88 shows a representative structure drawing of region 3 of IL-2 3' UTR.

Figure 89 shows a representative Hovergen family tree of interleukin-4 (IL-4).

Figure 90 shows a representative Align Hits view of IL-4 5' UTR.

Figure 91 shows a representative Clustal Alignment of IL-4 5' UTR.

15 Figure 92 shows a representative Dome structure view of IL-4 5' UTR.

Figure 93 shows a representative structure drawing of IL-4 5' UTR.

Figure 94 shows a representative Align Hits view of IL-4 3' UTR.

Figure 95 shows a representative Clustal Alignment of IL-4 3' UTR.

Figure 96 shows a representative Dome structure view of IL-4 3' UTR.

20 Figure 97 shows a representative structure drawing of IL-4 3' UTR.]

The present invention is directed to methods of identifying particular structural elements in eukaryotic and prokaryotic nucleic acid, especially RNA molecules, which will interact with other molecules to effect modulation of the RNA. "Modulation" refers to  
25 augmenting or diminishing RNA activity or expression. A preferred embodiment of the present invention is outlined in flowchart form in Figure 1. The structural elements in eukaryotes and prokaryotes are referred to as "molecular interaction sites." These elements contain secondary structure, that is, have three-dimensional form capable of undergoing interaction with "small" molecules and otherwise, and are expected to serve as sites for  
30 interacting with "small" molecules, oligomers such as oligonucleotides, and other compounds in therapeutic and other applications.

Referring to Figure 1, preferred steps for identifying molecular interaction sites in target nucleic acids are shown in the flow diagram. The nucleotide sequence of the target nucleic acid is compared with the nucleotide sequences of a plurality of nucleic acids from different taxonomic species, 10. The target nucleic acid may be present in eukaryotic cells or prokaryotic cells, the target nucleic acid may be bacterial or viral as well as belonging to a "higher" organism such as human. Any type of nucleic acid can serve as a target nucleic acid. Preferred target nucleic acids include, but are not limited to, messenger RNA (mRNA), pre-messenger RNA (pre-mRNA), transfer RNA (tRNA), ribosomal RNA (rRNA), or small nuclear RNA (snRNA). Initial selection of a particular target nucleic acid can be based upon any functional criteria. Nucleic acids known to be important during inflammation, cardiovascular disease, pain, cancer, arthritis, trauma, obesity, Huntingtons, neurological disorders, or other diseases or disorders, for example, are exemplary target nucleic acids.

Nucleic acids known to be involved in pathogenic genomes such as, for example, bacterial, viral and yeast genomes are exemplary prokaryotic nucleic acid targets. Pathogenic bacteria, viruses and yeast are well known to those skilled in the art. Exemplary nucleic acid targets are shown in Table 1. Applicants' invention, however, is not limited to the targets shown in Table 1 and it is to be understood that the present invention is believed to be quite general.

**Table 1: Exemplary RNA Targets**

Protein	RNA Target	GenBank #	Therapeutic
46 kD protein	3'-UTR stemloop in vimentin mRNA	X56134	cancer
unknown-cGMP regulated	5'-UTR of Asialoglycoprotein receptor mRNA	m10058	cancer
unknown	unknown	m11025	unknown
unknown insulin regulated protein	3'-UTR of E-selectin mRNA	unknown	inflammation
30 kD protein	3'-UTR of lipoprotein lipase mRNA	m15856	obesity
unknown	5'-UTR of NR2A subunit of NMDA receptor	U09002	trauma, pain, AD

histone binding protein (HBP)	3'-UTR of histone mRNA + paralogs	x57129	cancer
unknown	3'-UTR of p53 mRNA	x02469	cancer
p53	5'-UTR of mdm2 oncogene mRNA	u39736	cancer
unknown	5'-UTR of interleukin 1 type receptor (IL-1R1)	m27492	inflammation
none	5'-UTR of muscle acylphosphatase mRNA	x84195	musculoskeletal disease
ribosomal proteins	5'-UTR of c-myc in multiple myeloma	V00568	cancer
unknown	5'-UTR of Huntingtons disease gene		Huntingtons
unknown	5'-UTR of angiotensin AT	p30556	cardiovascular disease
unknown	zip code sequence in ARC mRNA	d87468	unknown
L-4	5'-UTR of L4 ribosomal protein	d23660	cancer
L-32	5'-UTR of L32 ribosomal protein	x03342	cancer
unknown	TCTP, translationally controlled tumor protein	x16064	cancer
unknown	3'-UTR of B-F1-ATPase	d00022	cancer
PU family of proteins, FBF binding factor	3'-UTR of fem-3 in <i>C. elegans</i>	X64962	unknown
unknown	3'-UTR of myocyte enhancer factor 2 MEF2A	x68505	metabolic
unknown	5'-UTR of glucose transporter mRNA GLUT1	k03195	diabetes
48 kD reticulocyte protein	3'-UTR of 15-lipoxygenase	M23892	inflammation
La proetin	5'-UTR of ribosomal RNA proteins		cancer

unknown	translational regulation of IL-2	S82692	inflammation
unknown	3'-UTR of CaMKIIa mRNA in neurons	u81554	CNS
bicoid (bcd)	BRE 3'-UTR fragment mRNA encoding cad protein	M21069	under development
48/50 kD protein	3'-UTR structure protamines 1	Y00443	cancer
translin (human) TB-RBP (mouse)	protamine 1 mRNA (human testes specific)	Y00443	cancer
translin (human) TB-RBP (mouse)	protamine 2 mRNA	X07862	unknown
translin (human) TB-RBP (mouse)	transition protein mRNA	x14474	cancer
translin (human) TB-RBP (mouse)	Tau mRNA	m13577	cancer
translin (human) TB-RBP (mouse)	myelin basic protein mRNA	x07948	cancer
p75	3'-UTR of ribonucleotide reductase R2	x59618	cancer
39 kD poly C protein	alpha globin	v00493	cancer
unknown	beta protein	v00497	metabolic
human teratocarcinoma protein p40	Line-1 mRNA		cancer, metabolic
RPL32	5'-UTR hairpin structure in RPL32		cancer
Y-box proteins	family of transcription factor mRNAs with a Y-box sequence		cancer
telomerase protein	telomerase RNA	AF015950	cancer
ferritin, transferrin	IREs, internal loops in mRNA encoding ferritin and transferrin		inflammation

ribosomal proteins	5'-UTR of PDGF2/c-sis mRNA	M12873	inflammation
zip code for localization	3'-UTR of beta actin		cancer
unknown insulin regulated protein	5'-UTR of ornithine decarboxylase mRNA	x55362	cancer
ribosomal proteins	ornithine decarboxylase antizyme		cancer
unknown	FGF-5		inflammation
DFR protein factor	3'-UTR TGE elements in the human oncogene GLI	X07384	cancer
DFR protein factor	3'-UTR tra-2 of <i>C. elegans</i>		unknown
viral capsid protein	3'-UTR of alfalfa mosaic virus RNA3		unknown
unknown	BRE Bruno response element in 3'-UTR of drosophila oskar mRNA		cancer
unknown	NRE nanose response element		cancer
unknown	repeated element		inflammation
U1A RDB protein	U1 snRNA		inflammation
CD40		X60592	inflammation
IGF-R		X04434 M24599	inflammation
A1 adenosine receptor		X68485	cardiovascular
B7-1		M27533	inflammation
B7-2			inflammation
cyclophilin B		M60857 M60457 M63573	inflammation
cyclophilin C		S71018	transplantation
FKBP51			transplantation
Th1 cytokines			inflammation

IFN $\gamma$			
Th1 cytokines IL-12		U03187	inflammation
NF-kappa B			cancer
ICAM-1		X06990	inflammation
L-selectin		X16150	inflammation
VCAM-1		M30257	inflammation
Alpha 4 integrin		X16983 X15356	inflammation
Beta 7		U34971	inflammation
MadCAM-1		U43628	inflammation
PECAM-1		M28526	inflammation
LFA-1		Y00796	inflammation
TACE			inflammation
LFA-3		X06296 Y00636	inflammation
CD-18			inflammation
ICAM-3		X69819	inflammation
ICAM-2		X15606	inflammation
CD11a		M87662	inflammation
protein kinase C- $\alpha$			cancer
protein kinase C- $\beta$		X52479	cancer
protein kinase C- $\delta$			cancer
protein kinase C- $\epsilon$		Z22521	cancer
protein kinase C-h		X65293	cancer
protein kinase C-m		M55284	cancer
protein kinase C- $\zeta$			cancer
unknown		Z15108	unknown
unknown	ornithine decarboxylase mRNA	X55362	cancer



unknown	IL-2 mRNA	X01586	inflammation
unknown	IL-4	M13982	inflammation

Additional nucleic acid targets may be determined independently or can be selected from publicly available prokaryotic and eukaryotic genetic databases known to those skilled in the art. Preferred databases include, for example, Online Mendelian Inheritance in Man (OMIM), the Cancer Genome Anatomy Project (CGAP), GenBank, EMBL, PIR, SWISS-PROT, and the like. OMIM, which is a database of genetic mutations associated with disease, was developed, in part, for the National Center for Biotechnology Information (NCBI). OMIM is publicly available through the Internet at the world wide web at, for example, [ncbi.nlm.nih.gov/Omim/](http://ncbi.nlm.nih.gov/Omim/). [OMIM can be accessed through the Internet at, for example, <http://www.ncbi.nlm.nih.gov/Omim/>.] CGAP, which is an interdisciplinary program to establish the information and technological tools required to decipher the molecular anatomy of a cancer cell. [CGAP can be accessed through the Internet at, for example, <http://www.ncbi.nlm.nih.gov/ncicgap/>.] CGAP is publicly available through the Internet at the world wide web at, for example, [ncbi.nlm.nih.gov/ncicgap/](http://ncbi.nlm.nih.gov/ncicgap/). Some of these databases may contain complete or partial nucleotide sequences. In addition, nucleic acid targets can also be selected from private genetic databases. Alternatively, nucleic acid targets can be selected from available publications or can be determined especially for use in connection with the present invention.

After a nucleic acid target is selected or provided, the nucleotide sequence of the nucleic acid target is determined and then compared to the nucleotide sequences of a plurality of nucleic acids from different taxonomic species. In one embodiment of the invention, the nucleotide sequence of the nucleic acid target is determined by scanning at least one genetic database or is identified in available publications. Preferred databases known and available to those skilled in the art include, for example, the Expressed Gene Anatomy Database (EGAD) and Unigene-Homo Sapiens database (Unigene), GenBank, and the like. EGAD contains a non-redundant set of human transcript (HT) sequences [and can be accessed through the Internet at, for example, <http://www.tigr.org/tdb/egad/egad.html>] and is publicly available through the Internet at the world wide web at, for example, [tigr.org/tdb/egad/egad.html](http://tigr.org/tdb/egad/egad.html). Unigene is a system for automatically partitioning GenBank sequences into a non-redundant set of gene-oriented clusters. Each Unigene cluster contains

sequences that represent a unique gene, as well as related information such as the tissue types in which the gene has been expressed and map location.

In addition, Unigene contains hundreds of thousands of novel expressed  
5 sequence tag (EST) sequences. [Unigene can be accessed through the Internet at, for  
example, <http://www.ncbi.nlm.nih.gov/UniGene/>.] Unigene is publicly available through the  
Internet at the world wide web at, for example, [ncbi.nlm.nih.gov/UniGene/](http://www.ncbi.nlm.nih.gov/UniGene/). These databases  
can be used in connection with searching programs such as, for example, Entrez, which is  
known and available to those skilled in the art, and the like. [Entrez can be accessed through  
10 the Internet at, for example, <http://www.ncbi.nlm.nih.gov/Entrez/>.] Entrez is publicly available  
through the Internet at the world wide web at, for example, [ncbi.nlm.nih.gov/Entrez](http://www.ncbi.nlm.nih.gov/Entrez/).  
Preferably, the most complete nucleic acid sequence representation available from various  
databases is used. The GenBank database, which is known and available to those skilled in  
the art, can also be used to obtain the most complete nucleotide sequence. GenBank is the  
15 NIH genetic sequence database and is an annotated collection of all publicly available DNA  
sequences. GenBank is described in, for example, Nuc. Acids Res., 1998, 26, 1-7, which is  
incorporated herein by reference in its entirety, and can be accessed by those skilled in the art  
[through the Internet at, for example, [http://www.ncbi.nlm.nih.gov/Web/Genbank/](http://www.ncbi.nlm.nih.gov/Web/Genbank/index.html)  
[index.html](http://www.ncbi.nlm.nih.gov/Web/Genbank/index.html)] through the Internet at the world wide web at, for example,  
20 [ncbi.nlm.nih.gov/Web/Genbank/index.html](http://www.ncbi.nlm.nih.gov/Web/Genbank/index.html). Alternatively, partial nucleotide sequences of  
nucleic acid targets can be used when a complete nucleotide sequence is not available.

In another embodiment of the present invention, the nucleotide  
sequence of the nucleic acid target is determined by assembling a plurality of overlapping  
expressed sequence tags (ESTs). The EST database (dbEST), which is known and available to  
25 those skilled in the art, comprises approximately one million different human mRNA  
sequences comprising from about 500 to 1000 nucleotides, and various numbers of ESTs from  
a number of different organisms. [dbEST can be accessed through the Internet at, for  
example, [http://www.ncbi.nlm.nih.gov/](http://www.ncbi.nlm.nih.gov/dbEST/index.html)  
[dbEST/index.html](http://www.ncbi.nlm.nih.gov/dbEST/index.html).] dbEST is publicly available through the Internet at the world wide web at,  
30 for example, [ncbi.nlm.nih.gov/dbEST/index.html](http://www.ncbi.nlm.nih.gov/dbEST/index.html). These sequences are derived from a  
cloning strategy that uses cDNA expression clones for genome sequencing. ESTs have  
applications in the discovery of new genes, mapping of genomes, and identification of coding

regions in genomic sequences. Another important feature of EST sequence information that is becoming rapidly available is tissue-specific gene expression data. This can be extremely useful in targeting selective gene(s) for therapeutic intervention. Since EST sequences are relatively short, they must be assembled in order to provide a complete sequence. Because  
5 every available clone is sequenced, it results in a number of overlapping regions being reported in the database.

Assembly of overlapping ESTs extended along both the 5' and 3' directions results in a full-length "virtual transcript." The resultant virtual transcript may represent an already characterized nucleic acid or may be a novel nucleic acid with no known biological  
10 function. The Institute for Genomic Research (TIGR) Human Genome Index (HGI) database, which is known and available to those skilled in the art, contains a list of human transcripts. [TIGR can be accessed through the Internet at, for example, <http://www.tigr.org/>] TIGR is publicly available through the Internet at the world wide web at, for example, [tigr.org/](http://www.tigr.org/). The transcripts were generated in this manner using TIGR-Assembler, an engine to build virtual  
15 transcripts and which is known and available to those skilled in the art. TIGR-Assembler is a tool for assembling large sets of overlapping sequence data such as ESTs, BACs, or small genomes, and can be used to assemble eukaryotic or prokaryotic sequences. TIGR-Assembler is described in, for example, Sutton, *et al.*, *Genome Science & Tech.*, **1995**, *1*, 9-19, which is incorporated herein by reference in its entirety, [and can be accessed through the Internet at,  
20 for example, <ftp://ftp.tigr.org/pub/software/TIGR assembler>] and is publicly available through the Internet via file transfer program at, for example [tigr.org/pub.software/TIGR assembler](http://ftp.tigr.org/pub/software/TIGR assembler). In addition, GLAXO-MRC, which is known and available to those skilled in the art, is another protocol for constructing virtual transcripts. In addition, "Find Neighbors and Assemble EST Blast" protocol, which runs on a UNIX platform, has been developed by Applicants to  
25 construct virtual transcripts. Preferred steps in the Find Neighbors and Assemble EST Blast protocol is described in the flowchart set forth in Figure 2. PHRAP is used for sequence assembly within Find Neighbors and Assemble EST Blast. [PHRAP can be accessed through the Internet at, for example, <http://chimera.biotech.washington.edu/uwgc/tools/phrap.htm>.] PHRAP is publicly available through the Internet at, for example,  
30 [chimera.biotech.washington.edu/uwgc/tools/phrap.htm](http://chimera.biotech.washington.edu/uwgc/tools/phrap.htm). One skilled in the art can construct source code to carry out the preferred steps set forth in Figure 2.

The nucleotide sequence of the nucleic acid target is compared to the nucleotide sequences of a plurality of nucleic acids from different taxonomic species. A plurality of nucleic acids from different taxonomic species, and the nucleotide sequences thereof, can be found in genetic databases, from available publications, or can be determined especially for use in connection with the present invention. In one embodiment of the invention, the nucleic acid target is compared to the nucleotide sequences of a plurality of nucleic acids from different taxonomic species by performing a sequence similarity search, an ortholog search, or both, such searches being known to persons of ordinary skill in the art.

The result of a sequence similarity search is a plurality of nucleic acids having at least a portion of their nucleotide sequences which are homologous to at least an 8 to 20 nucleotide region of the target nucleic acid, referred to as the window region. Preferably, the plurality of nucleotide sequences comprise at least one portion which is at least 60% homologous to any window region of the target nucleic acid. More preferably, the homology is at least 70%. More preferably, the homology is at least 80%. Most preferably, the homology is at least 90%. For example, the window size, the portion of the target nucleotide to which the plurality of sequences are compared, can be from about 8 to about 20, preferably 10 - 15, most preferably about 11 - 12, contiguous nucleotides. The window size can be adjusted accordingly. A plurality of nucleic acids from different taxonomic species is then preferably compared to each likely window in the target nucleic acid until all portions of the plurality of sequences is compared to the windows of the target nucleic acid. Sequences of the plurality of nucleic acids from different taxonomic species which have portions which are at least 60%, preferably at least 70%, more preferably at least 80%, or most preferably at least 90% homologous to any window sequence of the target nucleic acid are considered as likely homologous sequences.

Sequence similarity searches can be performed manually or by using several available computer programs known to those skilled in the art. Preferably, Blast and Smith-Waterman algorithms, which are available and known to those skilled in the art, and the like can be used. Blast is NCBI's sequence similarity search tool designed to support analysis of nucleotide and protein sequence databases. [Blast can be accessed through the Internet at, for example, <http://www.ncbi.nlm.nih.gov/BLAST/>.] Blast is publicly available through the Internet at the world wide web at, for example, [ncbi.nlm.nih.gov/BLAST/](http://www.ncbi.nlm.nih.gov/BLAST/). The GCG Package provides a local version of Blast that can be used either with public domain databases or with

any locally available searchable database. GCG Package v.9.0 is a commercially available software package that contains over 100 interrelated software programs that enables analysis of sequences by editing, mapping, comparing and aligning them. Other programs included in the GCG Package include, for example, programs which facilitate RNA secondary structure predictions, nucleic acid fragment assembly, and evolutionary analysis. In addition, the most prominent genetic databases (GenBank, EMBL, PIR, and SWISS-PROT) are distributed along with the GCG Package and are fully accessible with the database searching and manipulation programs. [GCG can be accessed through the Internet at, for example, <http://www.gcg.com/>] GCG is publicly available through the Internet at the world wide web at, for example, [gcg.com/](http://www.gcg.com/). Fetch is a tool available in GCG that can get annotated GenBank records based on accession numbers and is similar to Entrez. Another sequence similarity search can be performed with GeneWorld and GeneThesaurus from Pangea. GeneWorld 2.5 is an automated, flexible, high-throughput application for analysis of polynucleotide and protein sequences. GeneWorld allows for automatic analysis and annotations of sequences. Like GCG, GeneWorld incorporates several tools for homology searching, gene finding, multiple sequence alignment, secondary structure prediction, and motif identification. GeneThesaurus 1.0tm is a sequence and annotation data subscription service providing information from multiple sources, providing a relational data model for public and local data.

Another alternative sequence similarity search can be performed, for example, by BlastParse. BlastParse is a PERL script running on a UNIX platform that automates the strategy described above. BlastParse takes a list of target accession numbers of interest and takes each one through the preferred processes described in the flowchart set forth in Figure 3. BlastParse parses all the GenBank fields into "tab-delimited" text that can then be saved in a "relational database" format for easier search and analysis, which provides flexibility. The end result is a series of completely parsed GenBank records that can be easily sorted, filtered, and queried against, as well as an annotations-relational database.

Another toolkit capable of doing sequence similarity searching and data manipulation is SEALS, also from NCBI. This tool set is written in perl and C and can run on any computer platform that supports these languages. [It is available for download, for example, at: <http://www.ncbi.nlm.nih.gov/Walker/SEALS/>.] It is publicly available through the Internet at the world wide web at, for example, [ncbi.nlm.nih.gov/Walker/SEALS/](http://www.ncbi.nlm.nih.gov/Walker/SEALS/). This toolkit provides access to Blast2 or gapped blast. It also includes a tool called tax\_collector

which, in conjunction with a tool called tax\_break, parses the output of Blast2 and returns the identifier of the sequence most homologous to the query sequence for each species present. Another useful tool is feature2fasta which extracts sequence fragments from an input sequence based on the annotation. An exemplary use for this tool is to create sequence files  
5 containing the 5' untranslated region of a cDNA sequence.

Preferably, the plurality of nucleic acids from different taxonomic species which have homology to the target nucleic acid, as described above in the sequence similarity search, are further delineated so as to find orthologs of the target nucleic acid therein. An  
10 ortholog is a term defined in gene classification to refer to two genes in widely divergent organisms that have sequence similarity, and perform similar functions within the context of the organism. In contrast, paralogs are genes within a species that occur due to gene duplication, but have evolved new functions, and are also referred to as isotypes. Optionally, paralog searches can also be performed. By performing an ortholog search, an exhaustive list  
15 of homologous sequences from diverse organisms is obtained. Subsequently, these sequences are analyzed to select the best representative sequence that fits the criteria for being an ortholog. An ortholog search can be performed by programs available to those skilled in the art including, for example, Compare. Preferably, an ortholog search is performed with access to complete and parsed GenBank annotations for each of the sequences. Currently, the  
20 records obtained from GenBank are "flat-files", and are not ideally suited for automated analysis. Preferably, the ortholog search is performed using a Q-Compare program. Preferred steps of the Q-Compare protocol are described in the flowchart set forth in Figure 4. The Blast Results-Relation database, depicted in Figure 3, and the Annotations-Relational database, depicted in Figure 3, are used in the Q-Compare protocol, which results in a list of  
25 ortholog sequences to compare in the interspecies sequence comparisons programs described below.

The above-described similarity searches provide results based on cut-off values, referred to as e-scores. E-scores represent the probability of a random sequence match within a given window of nucleotides. The lower the e-score, the better the match. One  
30 skilled in the art is familiar with e-scores. The user defines the e-value cut-off depending upon the stringency, or degree of homology desired, as described above. In embodiments of

the invention where prokaryotic molecular interaction sites are identified, it is preferred that any homologous nucleotide sequences that are identified be non-human.

In another embodiment of the invention, the sequences required are obtained by searching ortholog databases. One such database is Hovergen, which is a curated database of vertebrate orthologs. Ortholog sets may be exported from this database and used as is, or used as seeds for further sequence similarity searches as described above. Further searches may be desired, for example, to find invertebrate orthologs. [Hovergen can be downloaded, for example, at: <ftp://pbil.univ-lyon1.fr/pub/hovergen/>.] Hovergen is publicly available through the Internet via file transfer program at, for example, pbil.univ-lyon1.fr/pub/hovergen/. A database of prokaryotic orthologs, COGS, is available and can be used interactively through the Internet at the world wide web at, for example, [on the internet, for example at: <http://www.ncbi.nlm.nih.gov/COG/>.

In another embodiment of the present invention, the nucleotide sequences of a plurality of nucleic acids from different taxonomic species are compared to the nucleotide sequence of the target nucleic acid by performing a sequence similarity search using dbEST, or the like, and constructing virtual transcripts. Using EST information is useful for two distinct reasons. First, the ability to identify orthologs for human genes in evolutionarily distinct organisms in GenBank database is limited. As more effort is directed towards identifying ESTs from these evolutionarily distinct organisms, dbEST is likely to be a better source of ortholog information.

Second, the attempt to sequence human genome is less than 10 % complete. Thus, it is likely that the human dbEST will provide more information for identifying primary targets as the sequence of the human genome nears completion. EST sequences are short and need to be assembled to be used. Preferably, a sequence similarity search is performed using Smith-Waterman algorithms, as described above, under high stringency against dbEST excluding human sequences. Because dbEST contains sequencing errors, including insertions and deletions, in order to accurately search for new sequences, the search method used should allow for these gaps. Because every available clone is sequenced, it results in a number of overlapping regions being reported in the database. A full-length or partial "virtual transcript" for non-human RNAs is constructed by a process whereby overlapping EST sequences are extended along both the 5' and 3' directions, until a "full-length" transcript is obtained. In another embodiment of the invention, a chimeric virtual transcript is constructed.

The resultant virtual transcript may represent an already characterized RNA molecule or could be a novel RNA molecule with no known biological function. As described above, TIGR HGI database makes available an engine to build virtual transcripts called TIGR-Assembler. GLAXO-MRC and GeneWorld from Pangea provide for construction of virtual transcripts as well. As described above, Find Neighbors and Assemble EST Blast can also be used to build virtual transcripts.

Referring to Figure 1, after the orthologs or virtual transcripts described above are obtained through either the sequence similarity search or the ortholog search, at least one sequence region which is conserved among the plurality of nucleic acids from different taxonomic species and the target nucleic acid is identified, 20. Interspecies sequence comparisons can be performed using numerous computer programs which are available and known to those skilled in the art. Preferably, interspecies sequence comparison is performed using Compare, which is available and known to those skilled in the art. Compare is a GCG tool that allows pair-wise comparisons of sequences using a window/stringency criterion. Compare produces an output file containing points where matches of specified quality are found. These can be plotted with another GCG tool, DotPlot.

Alternatively, the identification of a conserved sequence region is performed by interspecies sequence comparisons using the ortholog sequences generated from Q-Compare in combination with CompareOverWins, as described above. Preferably, the list of sequences to compare, *i.e.*, the ortholog sequences, generated from Q-Compare, as described in Figure 4, is entered into the CompareOverWins algorithm. Preferred steps in the CompareOverWins are described in Figures 5A, 5B, and 5C. Preferably, interspecies sequence comparisons are performed by a pair-wise sequence comparison in which a query sequence is slid over a window on the master target sequence. Preferably, the window is from about 9 to about 99 contiguous nucleotides.

Sequence homology between the window sequence of the target nucleic acid and the query sequence of any of the plurality of nucleic acid sequences obtained as described above, is preferably at least 60%, more preferably at least 70%, more preferably at least 80%, and most preferably at least 90%. The most preferable method of choosing the threshold is to have the computer automatically try all thresholds from 50% to 100% and choose a threshold based a metric provided by the user. One such metric is to pick the threshold such that exactly n hits are returned, where n is usually set to 3. This process is repeated until every base on the



query nucleic acid, which is a member of the plurality of nucleic acids described above, has been compared to every base on the master target sequence. The resulting scoring matrix can be plotted as a scatter plot. Based on the match density at a given location, there may be no dots, isolated dots, or a set of dots so close together that they appear as a line. The presence of  
5 lines, however small, indicates primary sequence homology. [A representative scatter plot of such interspecies sequence comparison is depicted in Figure 6.] Sequence conservation within nucleic acid molecules, particularly the UTRs of RNA, in divergent species is likely to be an indicator of conserved regulatory elements that are also likely to have a secondary structure. The results of the interspecies sequence comparison can be analyzed using MS  
10 Excel and visual basic tools in an entirely automated manner as known to those skilled in the art.

Referring to Figure 1, after at least one region that is conserved between the nucleotide sequence of the nucleic acid target and the plurality of nucleic acids from different taxonomic species, preferably via the orthologs, is identified, the conserved region is analyzed  
15 to determine whether it contains secondary structure, 30. Determining whether the identified conserved regions contain secondary structure can be performed by a number of procedures known to those skilled in the art. Determination of secondary structure is preferably performed by self complementarity comparison, alignment and covariance analysis, secondary structure prediction, or a combination thereof.

20 In one embodiment of the invention, secondary structure analysis is performed by alignment and covariance analysis. Numerous protocols for alignment and covariance analysis are known to those skilled in the art. Preferably, alignment is performed by ClustalW, which is available and known to those skilled in the art. ClustalW is a tool for multiple sequence alignment that, although not a part of GCG, can be added as an extension of  
25 the existing GCG tool set and used with local sequences. [ClustalW can be accessed through the Internet at, for example, <http://dot.imgen.bcm.tmc.edu:9331/multi-align/Options/clustalw.html>.] ClustalW is publicly available through the Internet at, for example, dot.imgen.bcm.tmc.edu:9331/multialign/Options/clustalw.html. ClustalW is also described in Thompson, *et al.*, *Nuc. Acids Res.*, 1994, 22, 4673-4680, which is incorporated  
30 herein by reference in its entirety. These processes can be scripted to automatically use conserved UTR regions identified in earlier steps. Seqed, a UNIX command line interface available and known to those skilled in the art, allows extraction of selected local regions from

a larger sequence. Multiple sequences from many different species can be clustered and aligned for further analysis.

In a preferred embodiment of the invention, the output of all possible pair-wise CompareOverWindows comparisons are compiled and aligned to a reference sequence using a program called AlignHits. A diagram of the operation of this program is given in Figure 5D. This program could be reproduced by one skilled in the art. A preferred purpose of this program is to map all hits made in pair-wise comparisons back to the position on a reference sequence. This method combining CompareOverWindows and AlignHits provides more local alignments (over 20-100 bases) than any other algorithm. This local alignment is required for the structure finding routines described later such as covariation or RevComp. This algorithm writes a fasta file of aligned sequences. As shown, the algorithm does not correct single base insertions or deletions. This is usually accomplished by putting the output through ClustalW described elsewhere. It is important to differentiate this from using ClustalW by itself, without CompareOverWindows and AlignHits.

Covariation is a process of using phylogenetic analysis of primary sequence information for consensus secondary structure prediction. Covariation is described in the following references, each of which is incorporated herein by reference in their entirety: Gutell, *et al.*, "Comparative Sequence Analysis Of Experiments Performed During Evolution" 5 In Ribosomal RNA Group I Introns, Green, Ed., Austin:Landes,1996; Gautheret, *et al.*, *Nuc. Acids Res.*, 1997, 25, 1559-1564; Gautheret, *et al.*, *RNA*, 1995, 1, 807-814; Lodmell, *et al.*, *Proc. Natl. Acad. Sci. USA*, 1995, 92, 10555-10559; Gautheret, *et al.*, *J. Mol. Biol.*, 1995, 248, 27-43; Gutell, *Nuc. Acids Res.*, 1994, 22, 3502-3517; Gutell, *Nuc. Acids Res.*, 1993, 21, 3055-3074; Gutell, *Nuc. Acids Res.*, 1993, 21, 3051-3054; Woese, *Proc. Natl. Acad. Sci. USA*, 10 1989, 86, 3119-3122; and Woese, *et al.*, *Nuc. Acids Res.*, 1980, 8, 2275-2293. Preferably, covariance software is used for covariance analysis. Preferably, Covariation, a set of programs for the comparative analysis of RNA structure from sequence alignments, is used. Covariation uses phylogenetic analysis of primary sequence information for consensus secondary structure prediction. [Covariation can be obtained through the Internet at, for example, <http://www.mbio.ncsu.edu/RNaseP/info/programs/programs.html>.] Covariation is 15 publicly available through the Internet at the world wide web at, for example [mbio.ncsu.edu/RNaseP/info/programs/programs.html](http://www.mbio.ncsu.edu/RNaseP/info/programs/programs.html). A complete description of a version of the program has been published (Brown, J. W. 1991 Phylogenetic analysis of RNA structure on the Macintosh computer. CABIOS7:391-393). The current version is v4.1, which can 20 perform various types of covariation analysis from RNA sequence alignments, including standard covariation analysis, the identification of compensatory base-changes, and mutual information analysis. The program is well-documented and comes with extensive example files. It is compiled as a stand-alone program; it does not require Hypercard (although a much smaller 'stack' version is included). This program will run in any Macintosh environment 25 running MacOS v7.1 or higher. Faster processor machines (68040 or PowerPC) is suggested for mutual information analysis or the analysis of large sequence alignments.

In another embodiment of the invention, secondary structure analysis is performed by secondary structure prediction. There are a number of algorithms that predict RNA secondary structures based on thermodynamic parameters and energy calculations. 30 Preferably, secondary structure prediction is performed using either M-fold or RNA Structure 2.52. [M-fold can be accessed through the Internet at, for example, <http://www.ibc.wustl.edu/-zucker/ma/form2.cgi>] M-fold is publicly available through the

Internet at the world wide web at, for example, [ibc.wustl.edu/~zucker/ma/form2.cgi](http://ibc.wustl.edu/~zucker/ma/form2.cgi) or can be downloaded for local use on UNIX platforms. M-fold is also available as a part of GCG package. RNA Structure 2.52 is a windows adaptation of the M-fold algorithm and [can be accessed through the Internet at, for example, <http://128.151.176.70/RNAstructure.html>] is  
5 publicly available through the Internet at, for example, 128.151.176.70/RNAstructure.html

In another embodiment of the invention, secondary structure analysis is performed by self complementarity comparison. Preferably, self complementarity comparison is performed using Compare, described above. More preferably, Compare can be modified to expand the pairing matrix to account for G-U or U-G basepairs in addition to the conventional Watson-  
10 Crick G-C/C-G or A-U/U-A pairs. Such a modified Compare program (modified Compare) begins by predicting all possible base-pairings within a given sequence. As described above, a small but conserved region, preferably a UTR, is identified based on primary sequence comparison of a series of orthologs. In modified Compare, each of these sequences is compared to its own reverse complement. [Figure 7 depicts an exemplary self  
15 complementarity analysis.] Allowable base-pairings include Watson-Crick A-U, G-C pairing and non-canonical G-U pairing. An overlay of such self complementarity plots of all available orthologs, and selection for the most repetitive pattern in each, results in a minimal number of possible folded configurations. [Figure 8 shows an exemplary overlay.] These overlays can then used in conjunction with additional constraints, including those imposed by  
20 energy considerations described above, to deduce the most likely secondary structure.

In another preferred embodiment of the invention, the output of AlignHits is read by a program called RevComp. A block diagram of this program is shown in Figure 9 [14]. This program could be reproduced by one skilled in the art. A preferred purpose of this program is to use base pairing rules and ortholog evolution to predict RNA secondary structure. RNA  
25 secondary structures are composed of single stranded regions and base paired regions, called stems. Since structure conserved by evolution is searched, the most probable stem for a given alignment of ortholog sequences is the one which could be formed by the most sequences. Possible stem formation or base pairing rules is determined by, for example, analyzing base pairing statistics of stems which have been determined by other techniques such as NMR.  
30 The output of RevComp is a sorted list of possible structures, ranked by the percentage of ortholog set member sequences which could form this structure. Because this approach uses a percentage threshold approach, it is insensitive to noise sequences. Noise sequences are those

that either not true orthologs, or sequences that made it into the output of AlignHits due to high sequence homology even though they do not represent an example of the structure which is searched. A very similar algorithm is implemented using Visual basic for Applications (VBA) and Microsoft Excel to be run on PCs, to generate the reverse complement matrix view  
5 for the given set of sequences.

A result of the secondary structure analysis described above, whether performed by alignment and covariance, self complementarity analysis, secondary structure predictions, such as using M-fold or otherwise, is the identification of secondary structure in the conserved regions among the target nucleic acid and the plurality of nucleic acids from  
10 different taxonomic species, 40. Exemplary secondary structures that may be identified include, but are not limited to, bulges, loops, stems, hairpins, knots, triple interacts, cloverleafs, or helices, or a combination thereof. Alternatively, new secondary structures may be identified.

In another embodiment of the invention, once the secondary structure of the  
15 conserved region has been identified, as described above, at least one structural motif for the conserved region having secondary structure is identified. These structural motifs correspond to the identified secondary structures described above. For example, analysis of secondary structure by self complementation may provide one type of secondary structure, whereas analysis by M-fold may provide another secondary structure. All the possible secondary  
20 structures identified by secondary structure analysis described above are, thus, represented by a family of structural motifs.

Once the secondary structure(s) of the target nucleic acids, as well as the secondary structures of nucleic acids from different taxonomic species, have been identified, further nucleic acids can be identified by searching on the basis of structure, rather than by  
25 primary nucleotide sequence, as described above. Additional nucleic acids which have secondary structure similar or identical to the secondary structure found as described above can be identified by constructing a family of descriptor elements for the structural motifs described above, and identifying other nucleic acids having secondary structures corresponding to the descriptor elements. The combination of any or all of the nucleic acids  
30 having secondary structure can be compiled into a database. The entire process can be repeated with a different target nucleic acid to generate a plurality of different secondary

structure groups which can be compiled into the database. Thus, databases of molecular interaction sites can be compiled by performing by the invention described herein.

After the hypothetical structure motifs are determined from the secondary structure analysis described above, a family of structure descriptor elements is constructed. Preferably, the structural motifs described above are converted into a family of descriptor elements. An exemplary descriptor element is shown in Figure 6 [9]. One skilled in the art is familiar with construction of descriptors. Structure descriptors are described in, for example, Laferriere, et al., Comput. Appl. Biosci., 1994, 10, 211-212, incorporated herein by reference in its entirety.

A different structure descriptor element is constructed for each of the structural motifs identified from the secondary structure analysis. Briefly, the secondary structure is converted to a generic text string, such as shown in Figure 6 [9]. For novel motifs, further biochemical analysis such as chemical mapping or mutagenesis may be needed to confirm structure predictions. Descriptor elements may be defined to have various stringency.

For example, referring to Figure 6 [9], the region termed H1, which comprises the first region of the stem, can be described as NNN:NNN, which contemplates any complementary base pairing including G-C, C-G, A-U, and U-A. The H1 region may also be designated so as to include only C-G or A-U, etc., base pairing. In addition, the descriptor elements can be defined to allow for a wobble. Thus, descriptor elements can be defined to have any level of stringency desired by the user. Applicants' invention, thus, is also directed to a database comprising different descriptor elements.

After a family of structure descriptor elements is constructed, nucleic acids having secondary structure which correspond to the structure descriptor elements are identified. Preferably, nucleic acids having secondary structure which correspond to the structure descriptor elements are identified by searching at least one database, performing clustering and analysis, identifying orthologs, or a combination thereof. Thus, the identified nucleic acids have secondary structure which falls within the scope of the secondary structure defined by the descriptor elements. Thus, the identified nucleic acids have secondary structure identical to nearly identical, depending on the stringency of the descriptor elements, to the target nucleic acid.

In one embodiment of the invention, nucleic acids having secondary structure which correspond to the structure descriptor elements are identified by searching at least one database. Any genetic database can be searched. Preferably, the database is a UTR database,

which is a compilation of the untranslated regions in messenger RNAs. A UTR database is accessible through the Internet at, for example, <ftp://area.ba.cnr.it/pub/embnet/database/utr/> is publicly available through the Internet via file transfer program at [area.ba.cnr.it/pub/embnet/database/utr/](ftp://area.ba.cnr.it/pub/embnet/database/utr/). Preferably the database is searched using a computer program, such as, for example, Rnamot, a UNIX-based motif searching tool available from Daniel Gautheret. Each "new" sequence that has the same motif is then queried against public domain databases to identify additional sequences. Results are analyzed for recurrence of pattern in UTRs of these additional ortholog sequences, as described below, and a database of RNA secondary structures is built. One skilled in the art is familiar with Rnamot. Briefly, Rnamot takes a descriptor string, such as the one shown in Figure 6 [9], and searches any Fasta format database for possible matches. Descriptors can be very specific, to match exact nucleotide(s), or can have built-in degeneracy. Lengths of the stem and loop can also be specified. Single stranded loop regions can have a variable length. G-U pairings are allowed and can be specified as a wobble parameter. Allowable mismatches can also be included in the descriptor definition. Functional significance is assigned to the motifs if their biological role is known based on previous analysis. Known regulatory regions such as Iron Response Element have been found using this technique (see, Example 1 below). In embodiments of the invention in which a database containing prokaryotic molecular interaction sites is compiled, it is preferable to refrain from searching human sequences or, alternatively, discarding human sequences when found.

In another embodiment of the invention, the nucleic acids identified by searching databases such as, for example, searching a UTR database using Rnamot, are clustered and analyzed so as to determine their location within the genome. The results provided by Rnamot simply identify sequences containing the secondary structure but do not give any indication as to the location of the sequence in the genome. Clustering and analysis is preferably performed with ClustalW, as described above.

In another embodiment of the invention, after clustering and analysis is performed as described above, orthologs are identified as described above. However, in contrast to the orthologs identified above, which were solely identified on the basis of their primary nucleotide sequences, these new orthologous sequences are identified on the basis of structure using the nucleic acids identified using Rnamot. Identification of orthologs is preferably performed by BlastParse or Q-Compare, as described above. In embodiments of

the invention in which a database containing prokaryotic molecular interaction sites is compiled, it is preferable to refrain from finding human orthologs or, alternatively, discarding human orthologs when found.

After nucleic acids having secondary structures which correspond to the  
5 structure descriptor elements are identified, any or all of the nucleotide sequences can be compiled into a database by standard compiling protocols known to those skilled in the art. One database may contain eukaryotic molecule interaction sites and another database may contain prokaryotic molecule interaction sites.

The present invention is also directed to oligonucleotides comprising a  
10 molecular interaction site that is present in the RNA of a selected organism and in the RNA of at least one preferably several additional organisms. The nucleotide sequence of the oligonucleotide is selected to provide the secondary structure of the molecular interaction sites described above. The nucleotide sequence of the oligonucleotide is preferably the nucleotide sequence of the target nucleic acids described above. Alternatively, the nucleotide sequence  
15 is preferably the nucleotide sequence of nucleic acid from a plurality of different taxonomic species which also contain the molecular interaction site. The molecular interaction site serves as a binding site for at least one molecule which, when bound to the molecular interaction site, modulates the expression of the RNA in the selected organism.

The present invention is also directed to oligonucleotides comprising a  
20 molecular interaction site that is present in a prokaryotic RNA and in at least one additional prokaryotic RNA, wherein the molecular interaction site serves as a binding site for at least one molecule which, when bound to the molecular interaction site, modulates the expression of the prokaryotic RNA. The additional organism is selected from all eukaryotic and prokaryotic organisms and cells but is not the same organism as the selected organism.  
25 Oligonucleotides, and modifications thereof, are well known to those skilled in the art. The oligonucleotides of the invention can be used, for example, as research reagents to detect, for example, naturally occurring molecules which bind the molecular interaction sites. The oligonucleotides of the invention can also be used as decoys to compete with naturally-occurring molecular interaction sites within a cell for research, diagnostic and therapeutic  
30 applications. Molecules which bind to the molecular interaction site modulate, either by augmenting or diminishing, the expression of the RNA. The oligonucleotides can also be used in agricultural, industrial and other applications.



The present invention is also directed to pharmaceutical compositions comprising the oligonucleotides described above in combination with a pharmaceutical carrier. A "pharmaceutical carrier" is a pharmaceutically acceptable solvent, diluent, suspending agent or any other pharmacologically inert vehicle for delivering one or more nucleic acids to an animal, and are well known to those skilled in the art. The carrier may be liquid or solid and is selected, with the planned manner of administration in mind, so as to provide for the desired bulk, consistency, *etc.*, when combined with the other components of a pharmaceutical composition. Typical pharmaceutical carriers include, but are not limited to, binding agents (*e.g.*, pregelatinised maize starch, polyvinylpyrrolidone or hydroxypropyl methylcellulose, *etc.*); fillers (*e.g.*, lactose and other sugars, microcrystalline cellulose, pectin, gelatin, calcium sulfate, ethyl cellulose, polyacrylates or calcium hydrogen phosphate, *etc.*); lubricants (*e.g.*, magnesium stearate, talc, silica, colloidal silicon dioxide, stearic acid, metallic stearates, hydrogenated vegetable oils, corn starch, polyethylene glycols, sodium benzoate, sodium acetate, *etc.*); disintegrates (*e.g.*, starch, sodium starch glycolate, *etc.*); or wetting agents (*e.g.*, sodium lauryl sulphate, *etc.*).

The following examples are meant to be exemplary of the preferred embodiments of the invention and are not meant to be limiting.

## EXAMPLES

### Example 1: The Iron Responsive Element

#### 1. Selecting RNA Target

To illustrate the strategy for identifying small molecule interaction sites, the iron responsive element (IRE) in the mRNA encoded by the human ferritin gene is identified. The IRE is a typical example of an RNA structural element that is used to control the level of translation of mRNAs associated with iron metabolism. The structure of the IRE was recently determined using NMR spectroscopy. In addition, NMR analysis of IRE structure is described in Gdaniec, *et al.*, *Biochem.*, **1998**, 37, 1505-1512 and Address, *et al.*, *J. Mol. Biol.*, **1997**, 274, 72-83. The IRE is an RNA element of approximately 30 nucleotides that folds into a hairpin structure and binds a specific protein. Because this structure has been so well studied and it known to appear in the mRNA of many species, it serves an excellent example of how Applicants' methodology works.

#### 2. Determining Nucleotide Sequence of the RNA Target

The human mRNA sequence for ferritin is used as the initial mRNA of interest or master sequence. The ferritin protein sequence is also used in the analysis, particularly in the initial steps used to find related sequences. In the case of human ferritin gene, the best input is the full length annotated mRNA and protein sequence obtained from UNIGENE.

5 However, for many genes of interest the same level of detailed information is not available. In these cases, alternative sources of master sequence information is obtained from sources such as, for example, GenBank, TIGR, dbEST division of GenBank or from sequence information obtained from private laboratories. Applicants' methods work using any level of input sequence information, but requires fewer steps with a high quality annotated input

10 sequence.

### 3. Identifying Similar Sequences

An early step in the process is to use the master sequence (nucleotide or protein) to find and rank related sequences in the database (orthologs and paralogs). Sequence

15 similarity search algorithms are used for this purpose. All sequence similarity algorithms calculate a quantitative measure of similarity for each result compared with the master sequence. An example of a quantitative result is an E-value obtained from the Blast algorithm. The E-values for a blast search of the non-redundant GenBank database using ferritin mRNA as the query sequence illustrates the use of quantitative analysis of sequence

20 similarity searches. The E-value is the probability that a match between a query sequence and a database sequence occurs due to random chance. Therefore, the lower an E-value the more likely that two sequences are truly related. A plot of the lowest E-value scores for ferritin is shown in Figure 7 [10]. Sequences that meet the cutoff criteria are selected for more detailed comparisons according to a set of rules described below. Since an objective of thesequence

25 similarity search to find distantly related orthologs and paralogs, it is preferable that the cutoff criteria not be too stringent, or the target of the search may be excluded.

### 4. Identification of Conserved Regions

Identification of conserved regions is performed by pairwise sequence

30 comparisons using Q-Compare in conjunction with CompareOverWins. Conservation of structure between genes with related function from different species is a major indication that can be used to find good drug binding sites. Conserved structure can be identified by using

distantly related sequences and piecing together the remnants of conserved sequence combining it with an analysis of potential structure. Sequence comparisons are made between pairs of mRNAs from different species using Q-compare that can identify traces of sequence conservation from even very divergent organisms. Q-compare, in conjunction with  
5 CompareOverWins, compares every region of each sequence by sliding one sequence over the other from end to end and measuring the number of matches in a window of a specific size.

When the human mRNA and mouse mRNA sequences for ferritin, which each contain an IRE in the 5'-UTR, are analyzed in this manner, a plot showing the regions of sequence similarity is produced[, as shown in Figure 19]. Pairwise analysis of the human and mouse  
10 ferritin mRNA sequences illustrate several important aspects of this type of analysis. Regions of each mRNA that encode the amino acid sequence have the highest degree of similarity, while the untranslated regions are less similar. [In Figure 19, the location of the IRE is indicated.] In both the human and mouse ferritin mRNAs the IREs are located in the extreme 5' end of each mRNA. This demonstrates an important point-- the sequence conservation in  
15 the region of the IRE structure does not stand out against the background of sequence similarity between the human and mouse ferritin sequences. In contrast, in the comparison of human and trout [(Figure 11)] or human and chicken [(Figure 12)] ferritin mRNAs, the IREs can be immediately identified. This is because the sequence of the UTRs between human and trout or human and chicken are separated by greater evolutionarily distance than human and  
20 mouse, which is logical in view of the evolutionary distance that separates humans from birds and fish compared with other mammals. Comparing the human sequence to that of birds and fish is informative because the natural drift due to evolution has allowed many sequence changes in the UTRs. However, the IRE sequences are more constrained because they form an important structure. Thus, they stand out better and can be more readily identified.

25 The same principle applies when comparing the trout and chicken ferritin sequences to each other. While both are separated from humans by hundreds of millions of years of evolution, they are also well separated from each other. This illustrates another important tactic used in the present invention -- comparison of two non-human RNA sequences can be used to find a regulatory RNA structure without having the actual human  
30 sequence. The non-human comparison work can actually direct one skilled in the art where to look to find a human counterpart as a potential drug target.

Evolutionary distances can be used to decide which sequences not to compare as well as which to compare. As with the human and mouse, comparison of trout and salmon are less informative because the species are too close and the IRE does not stand out above the UTR background. Comparison of human and *Drosophila* ferritin mRNA sequences fail to find the IREs in either species, even though they are present. This is because the sequence of the IREs between humans and *Drosophila* have diverged even though the structure is conserved. However, if the *Drosophila* and mosquito ferritin mRNAs are compared, the IREs are identified, again illustrating that the human sequence need not be in hand to identify a regulatory element relevant to drug discovery in humans.

The software used in the present invention makes the decision whether or not to compare sequences pairwise using a lookup table based upon the evolutionary distances between species. An example of a small lookup table using the examples described above is shown in Figure 8 [13]. The lookup table in the present invention includes all species that have sequences deposited in GenBank. Q-Compare in conjunction with CompareOverWins decides which sequences to compare pairwise.

## 5. Identification Of Secondary Structure

Sets of sequences that show evidence of conservation in orthologs and paralogs or other related genes are analyzed for the ability to form internal structure. This is accomplished by analyzing each sequence in a matrix where the sequence is plotted 5' to 3' on the X axis and its reverse complement is plotted 5' to 3' on the Y axis, such as in, for example, self-complementary analysis. Matches that correspond to potential intramolecular base pairs are scored according to a table of values. When the human ferritin IRE sequence is analyzed in this fashion, the diagonals indicate potential self-complementary regions. Each of the 13 IRE sequences described in this example were analyzed in the same fashion. While each of the sequences can form a variety of different structures, the structure most likely to occur is one common to all the sequences. By superimposing the plots of all 13 individual sequences [(see, Figure 8)], the potential structure common to all the sequences is deduced.

### Example 2: The Iron Responsive Element (Method B)

## 2. Determining Nucleotide Sequence of the RNA Target

The human mRNA sequence for ferritin is used as the initial mRNA of interest or master sequence. The ferritin protein sequence is also used in the analysis, particularly in the initial steps used to find related sequences. In the case of human ferritin gene, the best input is the full length annotated mRNA (gi507251) and protein sequence obtained from UNIGENE. However, for many genes of interest the same level of detailed information is not available. In these cases, alternative sources of master sequence information is obtained from sources such as, for example, Hovergen and GenBank. The present methods work using any level of input sequence information, but requires fewer steps with a high quality annotated input sequence.

## 3. Identifying Similar Sequences

An alternate, and preferred, approach to finding orthologs is the use of Hovergen database and query tools that have been described in Duret, *et al.*, *Nuc. Acids Res.*, 1994, 22, 2360-2365, which is incorporated herein by reference in its entirety.

[The use of] Hovergen was used to identify related sequences at the species and order levels [is shown in Figure 16 (tree classification at the species level) and Figure 17 (classification at the order level)]. Sequences corresponding to each of these orthologs was saved in GenBank format and grouped together in a single data file. Untranslated regions in both the 5' and 3' flanks of the coding region was extracted using SEALS and COWX, as shown in Figure 11 [18].

## 4. Identification of Conserved Regions

The IRE sequences are more constrained because they form an important structure. Thus, they stand out better and can be more readily identified even in closely related sequences. However, for this to work for any gene, the compare algorithm has been rewritten (see, Figures 5A-C). This new tool, CompareOverWins, allows a dynamic selection of both the range of window sizes, as well the hit threshold. This algorithm needs as its input parsed and separated 5' and 3' UTR sequences. We use tools available within the Seals

genome analysis package described earlier to achieve this. Figure 11 [18] describes the steps involved.

To identify the IRE [iron response element] using the methods described herein, the compare over windows [widows] algorithm was used and the results visualized using AlignHits (Figure 5D for the algorithm). [Representative results are shown in Figure 23.] In addition to optimizing the thresholding, CompareOverWins also extracts the sequence corresponding to the hits. ClustalW (version 1.74) was used on the extracted sequences to create a locally gapped alignment [(see, Figure 24)]. A representative flow scheme for this approach is shown in Figure 13 [25].

## 5. Identification Of Secondary Structure

Sets of sequences that show evidence of conservation in orthologs and paralogs or other related genes are analyzed for the ability to form internal structure. This is accomplished by analyzing each sequence in a matrix where the sequence is plotted 5' to 3' on the X axis and its complement is plotted 5' to 3' on the Y axis, such as in, for example, self-complementary analysis. Matches that correspond to potential intramolecular base pairs are scored according to a table of values. When the human ferritin IRE sequence is analyzed in this fashion, the diagonals indicate potential self-complementary regions. Each of the 13 IRE sequences described in this example were analyzed in the same fashion. While each of the sequences can form a variety of different structures, the structure most likely to occur is one common to all the sequences. By superimposing the plots of all 13 individual sequences [(see, Figure 26)], the potential structure common to all the sequences is deduced.

The above scheme has been implemented algorithmically into a program called RevComp (see, Figure 9 [14]). RevComp creates a sorted list of all the structures. Representative results can be viewed either as a “dome” output [(see, Figure 27)] or as a “connect” or “ct” file which can be used in one of many RNA structure viewing programs (RNAstructure, RNAViz, etc.). A representative example of such a structure drawing is shown in Figure 14 [28].

## Example 3: Histone

Histone 3'UTR represents another classic stem-loop structure that has been studied extensively (EMBO, 1997, 16, 769). At the post-transcriptional level, the stem-loop

structure in the 3' untranslated region of the histone mRNA has been shown to be very important. Son, *Saenghwahak Nyusu*, 1993, 13, 64-70. The analysis shown below describes the use of this known structure to validate the strategy and methods described herein.

Phylogenetic [Figures 29 and 30 represent phylogenetic] tree outputs for all  
5 Histone orthologs in Hovergen database was obtained. Each of these orthologs was saved in GenBank format and grouped together in a single data file. Untranslated regions in both the 5' and 3' flanks of the coding regions were extracted and compared using SEALS and COWX as described earlier (see, Figures 11 [18] and 13 [25]).

Following extraction and comparison by SEALS and COWX, Align Hits was used to  
10 determine potentially interesting regions [(see, Figure 31). One such region is shown encircled]. The sequences corresponding to the region of interest was extracted from all species for alignment with CLUSTAL W (1.74). Following extraction of sequence information from Align Hits, CLUSTAL W (1.74) was used to provide multiple sequence alignment shown [(see, Figure 32)]. Each of the putative hit sequences was analyzed for the  
15 ability to form internal structure. This was accomplished by analyzing each sequence in a matrix where the sequence was plotted 5' to 3' on the X axis and its complement is plotted 5' to 3' on the Y axis. Base-pairs along the diagonals indicate potential selfcomplementary regions that can form secondary structures. [Figure 33 shows a representative reverse complement matrix. Figure 34 shows a] A representative sequence alignment in a dome  
20 format can show [showing] potential stem formation between the base pairs. Following conversion of the dome format file to a ct file, RNA Structure 3.21 is used to visualize the structure [(see, Figure 35)].

#### Example 4: Vimentin

25 Vimentin is an intermediate filament protein whose 3'UTR is highly conserved between species. Previous studies by Zehner et al., (Nuc. Acids Res., 1997, 25, 3362-3370) has shown that a proposed a complex stem-loop structure contained within this region may be important for vimentin mRNA functions such as mRNA localization. The same region was identified using the present analysis, thus validating the present approach. In addition, based  
30 on the analyses described herein, a second stem-loop structure that occurs downstream of the previously proposed structure that may have a role in regulating vimentin fuction as well has been identified [(see, Figure 36)].

A representative phylogenetic tree output for all Vimentin orthologs in Hovergen database was obtained [is shown in Figure 37]. Each of these orthologs was saved in GenBank format and grouped together in a single data file. Untranslated regions in both the 5' and 3' flanks of the coding regions were extracted and compared using SEALS and  
5 COWX as described earlier (*see*, Figures 11 [18] and 13 [25]).

Following extraction and comparison by SEALS and COWX, Align Hits was used to determine potentially interesting regions. Two such regions appeared, and were used for subsequent analyses [(*see*, Figure 38)]. Following extraction of sequence information from Align Hits for the first region [1], CLUSTAL W was used to provide multiple sequence  
10 alignment [shown (*see*, Figure 39)]. Potential stem formation between base pairs was [is] given above the sequence alignment in a dome format [is shown in Figure 40.] Following conversion of the dome format file to a ct file, RNA Structure 3.21 was used to visualize the structure [(*see*, Figure 41)]. This structure is very similar to the one proposed by Zehner *et al.* [(*see*, Figure 42)]. Zehner *et al.* presented a detailed chemical analysis of their proposed  
15 structure for the minimal binding domain in the 3' UTR of Vimentin. This analysis included cleavage with single-strand-specific (ChS or T1) or double-strand-specific (V1) nucleases as well as after exposure to lead acetate.

Following extraction of sequence information from Align Hits for the second region [2], CLUSTAL W was used to provide multiple sequence alignment [shown in  
20 Figure 43]. The potential stem formation between base pairs in the second region [2] was [is] given above the sequence alignment in a dome format [(*see*, Figure 44)]. Following conversion of the dome format file to a ct file, RNA Structure 3.21 was used to visualize the structure for the second region [2 (*see*, Figure 36)].

#### 25 **Example 5: Transferrin Receptor**

Similar to regulation of ferritin (Examples 1 and 2), another known function of the IRE is in the regulation of transferrin receptor. Five IREs have been identified in the 3' UTRs of known transferring receptor mRNAs. Kuhn *et al.*, *EMBO J.*, **1987**, *6*, 1287-93 and Casey *et al.*, *Science*, **1988**, *240*, 924-928, each of which is incorporated herein by reference  
30 in its entirety. All 5 IREs have been shown to interact with iron regulatory proteins (IRP) independently. The present techniques were applied to identify these conserved elements in transferrin receptors.



A representative phylogenetic tree output for all Transferrin receptor orthologs in Hovergen database was obtained [is shown in Figure 45]. Each of these orthologs was saved in GenBank format and grouped together in a single data file. Untranslated regions in both the 5' and 3' flanks of the coding region were extracted and compared using SEALS and  
5 COWX as described earlier (*see*, Figures 11 [18] and 13 [25]).

Following extraction and comparison by SEALS and COWX, Align Hits was used to determine potentially interesting regions. [as shown in Figure 46. This can be seen where a vertical line intersects a series of horizontal lines representing sequence information from a set of species. This] The first region, between base pairs 920 to 990, in the 3 prime  
10 UTR of transferrin receptor was extracted from all species for alignment with CLUSTAL W (1.74).

Following extraction of sequence information from Align Hits for the first region [1], CLUSTAL W (1.74) was used to provide multiple sequence alignment [as shown in Figure 47]. A representative potential stem formation between base pairs was [is] given  
15 above the sequence alignment in a dome format [as shown in Figure 48]. Following conversion of the dome format file to a ct file, RNA Structure 3.21 was used to visualize the structure. [*see*, Figure 49). This can be seen where a vertical line intersects a series of horizontal lines representing sequence information from a set of species. This] The second region, between base pairs 990 to 1050, in the 3 prime UTR of transferrin receptor was  
20 extracted from all species for alignment with CLUSTAL W (1.74) [*see*, Figure 50)].

Following extraction of sequence information from Align Hits for the second region [2], CLUSTAL W (1.74) was used to provide multiple sequence alignment [as shown in Figure 51]. Potential stem formation between base pairs was [is] given above the sequence alignment in a dome format [as shown in Figure 52]. Following conversion of the dome  
25 format file to a ct file, RNA Structure 3.21 was used to visualize the structure [as shown in Figure 53]. Following extraction and comparison by SEALS and COWX, Align Hits was used to determine potentially interesting regions. [This can be seen where a vertical line intersects a series of horizontal lines representing sequence information from a set of species. This] The third region, between base pairs 1372 to 1423, in the 3 prime UTR of transferrin  
30 receptor was extracted from all species for alignment with CLUSTAL W (1.74) [*see*, Figure 54)].

Following extraction of sequence information from Align Hits for the third region [3], CLUSTAL W (1.Ex.34) was used to provide multiple sequence alignment [as shown in Figure 55]. Potential stem formation between base pairs was [is] given above the sequence alignment in a dome format [as shown in Figure 56]. Following conversion of the dome format file to a ct file, RNA Structure 3.21 was used to visualize the structure [as shown in Figure 57]. Following extraction and comparison by SEALS and COWX, Align Hits was used to determine potentially interesting regions. [This can be seen where a vertical line intersects a series of horizontal lines representing sequence information from a set of species. This] The fourth region, between base pairs 1439 to 1479, in the 3 prime UTR of transferrin receptor was extracted from all species for alignment with CLUSTAL W (1.74) [*see*, Figure 58)].

Following extraction of sequence information from Align Hits for the fourth region [4], CLUSTAL W (1.Ex.34) was used to provide multiple sequence alignment [as shown in Figure 59]. Potential stem formation between base pairs was [is] given above the sequence alignment in a dome format [is shown in Figure 60]. Following conversion of the dome format file to a ct file, RNA Structure 3.21 was used to visualize the structure [as shown in Figure 61]. Following extraction and comparison by SEALS and COWX, Align Hits was used to determine potentially interesting regions. [This can be seen where a vertical line intersects a series of horizontal lines representing sequence information from a set of species. This] The fifth region, between base pairs 1479 to 1542, in the 3 prime UTR of transferrin receptor was extracted from all species for alignment with CLUSTAL W (1.74) [*see*, Figure 62)].

Following extraction of sequence information from Align Hits for the fifth region [5], CLUSTAL W (1.Ex.34) was used to provide multiple sequence alignment [as shown in Figure 63]. Potential stem formation between base pairs was [is] given above the sequence alignment in a dome format [is shown in Figure 64]. Following conversion of the dome format file to a ct file, RNA Structure 3.21 was used to visualize the structure [as shown in Figure 65].

30

#### **Example 6: Ornithine Decarboxylase**

Ornithine decarboxylase (ODC) is the first enzyme in the polyamine biosynthetic pathway. Studies have shown existence of translational regulatory elements both in the 5' and 3' untranslated regions (Grens et al., J. Biol. Chem., 1990, 265, 11810). Secondary structures have been proposed to exist in both these regions, though there is no conclusive evidence for it. The methods described herein identified two structures in the 3' UTR, as shown below. The presence of one of these structures (see, Figure 15 [66]) was verified using mass spectrometry probing (Griffey, et al., Proc. SPIE-Int. Soc. Opt. Eng., 2985 (Ultrasensitive Biochemical Diagnostics II): 82-86, which is incorporated herein by reference in its entirety). Two representative sequences that showed slight variation in their lengths [(see, Figure 67)] were made into RNA and subjected to MS structure probing. Results shown in Figure 15 [66] confirm the presence of a stem-loop structure. Accordingly, identification of a novel secondary structure can be identified from the methods described herein, and such existence has been independently verified by structure probing.

Phylogenetic tree outputs for all Ornithine Decarboxylase orthologs in Hovergen database were obtained [is shown in Figure 68 and Figure 69]. Each of these orthologs was saved in GenBank format and grouped together in a single data file. Untranslated regions in both the 5' and 3' flanks of the coding region were extracted and compared using SEALS and COWX as described earlier (*see*, Figures 11 [18] and 13 [25]).

Following extraction and comparison by SEALS and COWX, Align Hits was used to determine potentially interesting regions [as shown in Figure 70]. Two such regions appeared [appear], and were used for subsequent analyses. Following extraction of sequence information from the first region [1], CLUSTAL W (1.74) was used to provide multiple sequence alignment shown. Each of the putative hit sequences was analyzed for the ability to form internal structure [as shown] in a [the] reverse complement matrix [depicted in Figure 71]. This was accomplished by analyzing each sequence in a matrix where the sequence is plotted 5' to 3' on the X axis and its complement is plotted 5' to 3' on the Y axis. Base-pairs along the diagonals indicate potential self- complementary regions that can form secondary structures. Domes view of the potential stem formation between base pairs in region 1 is given above the sequence alignment was determined using RevComp [(see, Figure 72)]. RNA Structure 3.2 was used to visualize the structure [(see, Figure 73)].

Mass spectrometry analyses techniques were used to probe for structure. The cluster alignment of the first region of ornithine decarboxylase 3' UTR [Figure 67] showed

presence of gaps/inserts in the multiple alignment. Two representative RNAs (gi404561 and gi35135) from the alignments [shown in Figure 67] were used for this experiment. Analysis of the pattern of induced fragmentation showed a very strong likelihood for base-pairing along the top half of the stem-loop structure [(shown inverted in the figure)]. This corresponds to  
5 bases 11-14 and 20-23 in 404561 or bases 8-11 and 18-21 in 35135. Bulged bases (G9 in 404561 or U22 in 35135) also showed characteristic fragmentation pattern. The bottom-half of the structure appeared to be less stable, and showed some fragmentation where our analyses had predicted base-pairing. This was particularly true in the sequence 35135. This region, however, has several contiguous A-U or G-U base-pairs which tend to be less stable, and  
10 therefore have a higher probability of fragmentation.

Following extraction of sequence information from Align Hits for the second region [2], CLUSTAL W was used to provide multiple sequence alignment [shown as shown in Figure 74]. Potential stem formation between base pairs in the second region [2] was [is] given above the sequence alignment in a dome format [as shown in Figure 75]. Following  
15 conversion of the dome format file to a ct file, RNA Structure 3.21 was used to visualize the structure for the second region [2 as shown in Figure 76].

#### **Example 7: Interleukin-2 (IL-2)**

A representative phylogenetic tree output for all IL-2 orthologs in Hovergen database was obtained [is shown in Figure 77]. Each of these orthologs was saved in  
20 GenBank format and grouped together in a single data file. Untranslated regions in both the 5' and 3' flanks of the coding region were extracted and compared using SEALS and COWX as described earlier (*see*, Figures 11 [18] and 13 [25]).

Following extraction and comparison by SEALS and COWX, Align Hits was used to determine potentially interesting regions in the 3'UTR region. Two such regions  
25 appear, and were used for subsequent analyses [(*see*, Figure 78)]. Following extraction of sequence information from Align Hits for the first region [1], CLUSTAL W (1.74) was used to provide multiple sequence alignment [shown in Figure 79]. Domes view of the potential stem formation between base pairs in the first region [1 is] was given above the sequence alignment [was determined] using RevComp [(*see*, Figure 80)]. RNA Structure 3.2 was used  
30 to visualize the structure [as depicted in Figure 81]. Following extraction of sequence information from Align Hits for the second region [2], CLUSTAL W (1.74) was used to provide multiple sequence alignment [shown in Figure 82]. Potential stem formation between

base pairs in the second region was [2 is] given above the sequence alignment in a dome format [as shown in Figure 83]. Following conversion of the dome format file to a ct file, RNA Structure 3.21 was used to visualize the structure for the second region [2 as shown in Figure 84].

5 In addition to the two regions described above, a third region, downstream of, and partially overlapping the second region [2], was identified using an alternate reference sequence (3087784.fa) [and is shown in Figure 85]. Following extraction of sequence information from Align Hits for this region, CLUSTAL W (1.74) was used to provide multiple sequence alignment [shown in Figure 86]. Potential stem formation between base  
10 pairs in the third region [3 is] was shown [in Figure 87] above the sequence alignment in a dome format. Following conversion of the dome format file to a ct file, RNA Structure 3.21 was used to visualize the structure for the third region [3 (*see*, Figure 88)].

#### **Example 8: Interleukin-4 (IL-4)**

Representative phylogenetic tree output for all IL-4 orthologs in Hovergen  
15 database was obtained [is shown in Figure 89]. Each of these orthologs was saved in GenBank format and grouped together in a single data file. Untranslated regions in both the 5' and 3' flanks of the coding region were extracted and compared using SEALS and COWX as described earlier (*see*, Figures 11 [18] and 13 [25]).

Following extraction and comparison by SEALS and COWX, Align Hits was  
20 used to determine potentially interesting regions in the 5'UTR region [as shown in Figure 90]. Following extraction of sequence information from Align Hits for the above region, CLUSTAL W (1.74) was used to provide multiple sequence alignment [shown in Figure 91]. Domes view of the potential stem formation between base pairs in the region was [is] given above the sequence alignment [was determined] using RevComp [(*see*, Figure 92)]. RNA  
25 Structure 3.2 was used to visualize the structure [as shown in Figure 93].

[Figure 94 depicts a representative] Align Hits was used to view [of] hits in the 3'UTR region of IL-4. Following extraction of sequence information from Align Hits for the 3' UTR region, CLUSTAL W (1.74) was used to provide multiple sequence alignment [as shown in Figure 95]. Potential stem formation between base pairs in the second region [2 is]  
30 was given above the sequence alignment in a dome format [is shown in Figure 96]. Following conversion of the dome format file to a ct file, RNA Structure 3.21 was used to visualize the structure for the second region [2 (*see*, Figure 97)].